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ATTORNEY DOCKET NO.: 2006579-0254 (CTX-123)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Braddy, *et al.* Examiner: Not yet Assigned
Serial No.: 10/711,731 Art Unit: 2131
Filing Date: September 30, 2004
Title: METHOD AND APPARATUS FOR PROVIDING AUTHORIZED
REMOTE ACCESS TO APPLICATION SESSIONS

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Regular Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on April 10, 2006.

Debi A. Goodison

Name: Debi A. Goodison

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, Applicant requests consideration of this Information Disclosure Statement.

Type of Statement

The present Information Disclosure Statement is:

- ☒ An *original* Information Disclosure Statement; or
☐ A *supplemental* Information Disclosure Statement.

Compliance with 37 CFR § 1.97

The present Information Disclosure Statement is being filed:

- ☒ Pursuant to 37 CFR § 1.97(b); no fee or certification is required:
- ☐ Within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d);
 - ☐ Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application;
 - ☒ Before the mailing of a first Office action on the merits; or
 - ☐ Before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.
- ☐ Pursuant to 37 CFR § 1.97(c) after the dates listed above but before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application; Applicant hereby *either*:
- ☐ Certifies that *either*:
 - ☐ each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
 - ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making

reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement; or

☐ Includes herewith the fee set forth in § 1.17(p),

☐ Pursuant to 37 CFR § 1.97(d), after the mailing date of any final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application; Applicant hereby *both*:

☐ Certifies that *either*:

☐ each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement; and

☐ Includes herewith the fee set forth in § 1.17(p).

Content of the Information Disclosure Statement

Applicant hereby makes of record in the above-identified application the reference(s) listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

Applicant includes copies of references as indicated below:

- ☒ A copy of each cited reference not indicated with an asterisk is included;
- ☒ Copies of references indicated with an asterisk on the attached form PTO-1449 are not included pursuant to 37 CFR § 1.98(a)(2)(ii);
- ☐ Copies of English translations of one or more non-English references are included.

Applicant hereby makes the following additional information of record in the above-identified application:

Applicant certifies that the Information Disclosure Statement *either*:

- ☐ Does not contain non-English language citations;
- ☐ Includes one or more translations of a non-English citation; or
- ☒ Does contain non-English language citations.

Remarks

The submission of this Information Disclosure Statement should not be construed as a representation that a search has been made.

The submission of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b) .

The submission of this Information Disclosure Statement shall not be construed as a representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 USC §102.

It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited patent(s) and publication(s) has (have) been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the patent(s) and publication(s) be printed on any patent which issues from this application; and
4. Applicant directs the Examiner's attention to the following related United States patent applications:

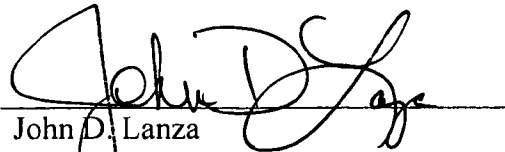
Our Reference No.	Serial No.	Filing Date
2006579-0550 (CTX-097)	11/365,355	3/1/2006
2006579-0445 (CTX-098)	10/956,832	10/1/2004
2006579-0504 (CTX-098DV)	11/272,598	11/14/2005
2006579-0250 (CTX-118)	10/711,730	9/30/2004
2006579-0448 (CTX-121)	10/956,764	10/1/2004

Notwithstanding any statements by Applicants, the Examiner is urged to form his or her own conclusions regarding the relevance of the cited reference(s).

Dated: April 10, 2006

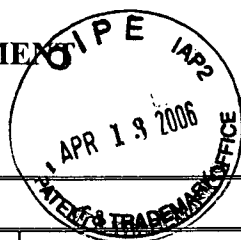
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INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

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U.S. PATENT DOCUMENTS

Examiner's Initials	Cite No.	U.S. Patent No.	Applicant	Issue Date	Class	Subclass
/BL/	A1	5,504,677*	Pollin	04-02-1996	364	408
	A2	5,557,346*	Lipner <i>et al.</i>	09-17-1996	380	021
	A3	5,557,765*	Lipner <i>et al.</i>	09-17-1996	380	021
	A4	5,640,454*	Lipner <i>et al.</i>	06-17-1997	380	021
	A5	5,727,249*	Pollin	03-10-1998	705	040
	A6	5,745,573*	Lipner <i>et al.</i>	04-28-1998	380	021
	A7	5,956,403*	Lipner <i>et al.</i>	09-21-1999	380	021
	A8	5,991,406*	Lipner <i>et al.</i>	11-23-1999	380	021
	A9	6,272,632*	Carman <i>et al.</i>	08-07-2001	713	168
	A10	6,275,942*	Bernhard <i>et al.</i>	08-14-2001	713	201
	A11	6,519,643*	Foulkes <i>et al.</i>	02-11-2003	709	227
	A12	6,553,377*	Eschelbeck <i>et al.</i>	04-22-2003	707	10
	A13	6,550,012*	Villa <i>et al.</i>	04-15-2003	713	201

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Examiner's Initials:	Cite No.	Publication Number:	Applicant:	Publication Date:	Group:	Art Unit:
/BL/	A14	2002-0049608*	Hartsell <i>et al.</i>	04-25-2002		
	A15	2002-0049841*	Johnson <i>et al.</i>	04-25-2002		
	A16	2002-0059274*	Hartsell <i>et al.</i>	05-16-2002		
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/BL/	C15	Feldman, M., "Enterprise Wrappers for Information Assurance," <i>Proc. DARPA Information Survivability Conference and Exposition (DISCEX '03)</i> , IEEE Press, 2003.
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/BL/	C19	Guy III, E.T., "An Introduction to the CAD Framework Initiative," <i>Electro 1992 Conference Record</i> , 78-83, Massachusetts, May 1992.
/BL/	C20	International Searching Authority, "International Search Report," PCT Application No. PCT/ US05/028605, mailed on January 18, 2005, 7 pgs.
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/BL/	C22	International Searching Authority, "Partial International Annexed to Invitation to Pay fees," PCT Application No. PCT/ US05/028607, mailed on December 14, 2005, 7 pgs.

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/BL/	C40	McAfee System Protection Solutions, "McAfee Policy Enforcer," 2005, <i>available at</i> : http://www.mcafee.com/us/local_content/datasheets/ds_policy_enforcer.pdf
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